PTO/SB/21 (09-04) (AW 10/2004)

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(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/863,593	
Filing Date	May 23, 2001	
First Named Inventor	Parag Pruthi	
Art Unit	2663	
Examiner Name	Duc T. Duong	
Attorney Docket No.	NIK-100US	

ENCLOSURES (Check all that apply)			
Fee Transmittal Form Fee Attached	Drawing(s) Licensing-related Papers	After Allowance Commuto TC	nication
Amendment/Reply After Final Affidavits/Declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s)	Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation, Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD	Appeal Communication of Appeals and Interfere Appeal Communication (Appeal Notice, Brief, I Brief) Proprietary Information Status Letter Other Enclosure(s) (pleatidentify below): PTO-For PTO/SB/08b, 4 Reference Return Receipt Postcard	nces to TC Reply use
Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks:		
SIGNATURE	OF APPLICANT, ATTORNEY OR AG	ENT	
Firm Name Signature Printed Name Christophe J. Dervishian			
Date January 10, 2006	Registration No.	42,480	
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I hereby certify that this correspondence is being facsimile postage as first class mail in an envelope addressed to: C			
Signature / / i	nta		
Typed or Printed Name Anne Pinto		Date January 10, 2006	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

09/863,593

Applicant:

Parag Pruthi et al. May 23, 2001

Filed: Title:

APPARATUS AND METHOD FOR COLLECTING AND ANALYZING

COMMUNICATIONS DATA

TC/A.U.:

2663

Examiner:

Duc T. Duong

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a (and/or PTO/SB/08b) submitted herewith. A copy of the references listed on the PTO/SB/08a (and/or PTO/SB/08b), other than U.S. patents, is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Enclosed and listed on the PTO/SB/08b form are communications received for corresponding foreign and international applications and references cited in such communications. The information submitted herewith is provided to ensure compliance with Applicants duty of disclosure under an interpretation of *Dayco Prods., Inc. v. Total Containment, Inc.*, 329 F.3d 1358 (Fed. Cir. 2003).

NIK-100US PATENT

This Information Disclosure Statement is being filed before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

Christopher J. Dervishian, Reg. No. 42,480

Attorney for Applicants

CJD/ap

Enclosures: PTO/SB/08b

(4) References Transmittal Form

Dated: January 10, 2006

P.O. Box 980 Valley Forge, PA 19482 (610) 407-0700

P.O. Box 1596
Wilmington, DE 19899
(302) 778-2500

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 1

	Complete if Known	
Application Number	09/863,593	
Filing Date	May 23, 2001	
First Named Inventor	Parag Pruthi	_
Art Unit	2663	
Examiner Name	Duc T. Duong	
Attorney Docket No.	NIK-100US	

Considered

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		Tomohiko Ogishi et al., "Implementation of Performance Monitor for Internet and Examples of its Analysis", IEICE Technical Report, SSE98-97 IN98-78 (the Institute of Electronics, Information and Communication Engineers, September 25, 1998).	Ø
		Takayuki Kushida et al., "The Traffic Analysis on the Internet", Multimedia Communication and Dispersion Processing,96-DPS-75-3 (Information Processing Society of Japan, Feb. 29, 1996).	×
		Remote LAN Monitor System "MONSTRE", Computer & Network LAN, Vol. 15, No. 8, pp 8-10 (Ohmsha, August 1, 1997).	⊠
		Japanese Patent Office - Decision of Refusal dated September 27, 2005.	×
	L		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Signature

¹Applicant's unique citation designation number (optional).

²Applicant is to place a check mark here if English language translation is attached.

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